

Delegated Financial Limits													
		Governing Body	NCL CCG Committees (Strategy & Commissioning / Finance / Procurement / Remuneration)	Borough Based Committees / ICP Boards	Chair	Accountable Officer	Chief Financial Officer (CFO)	Executive Director Strategic Commissioning (EDSC)	Chief Operating Officer (COO) or Executive Director (ED)	Finance Director (FD)	Director	Head of Service(HOS) / Assitant Director(AD) / Assistant Director of Finance(ADF)	Budget Holder
1 Allocation and control of funds													
1.1	Virement of Budgets (excluding technical adjustments within GB approved allocation)	Unlimited				£2,000,000	£1,000,000			<£500,000			
1.2	Journals (limits apply per journal rather than per line on a journal)	N/A						Unlimited		£20m and above		Up to £20m- Head of Finance/AD Finance/Senior Financial Accountant in the CSU	
1.3	New Strategic Investments/Business Cases (per annum values) - All Officer posts can approve up to a maximum contract length of 3 yrs + 2 extension yrs - GB & Committees do not have a max. contract length for approval purposes	Unlimited	Strategy & Commissioning Committee - £5m	Up to £1m		Up to £1m AO and CFO acting together	Up to £1m AO and CFO acting together	<£250,000 EDSC and FD acting together <£500,000 EDSC and CFO acting together	<£250,000 COO/ED and FD acting together	<£250,000 COO / Exec Director / EDSC and FD acting together	<£10,000 Director and AD of Finance acting together		
1.4	Allocation of North Central London wide transformation or other funding that is not borough specific	Unlimited				Unlimited	Unlimited						
2 Procurement (to be read alongside Procurement Policy and limits within Procurement Policy)													
2.1	Approval to proceed to procurement (per annum values) - All Officer posts can approve up to a maximum contract length of 3 yrs + 2 extension yrs - GB & Committees do not have a max. contract length for approval purposes	Unlimited	Strategy & Commissioning Committee - £5m	Up to £1m		Up to £1m AO and CFO acting together	Up to £1m AO and CFO acting together	<£250,000 EDSC and FD acting together <£500,000 EDSC and CFO acting together	<£250,000 COO/ED and FD acting together	<£250,000 COO / Exec Director / EDSC and FD acting together	<£10,000 Director and AD of Finance acting together		
2.2	Contract Award (per annum values) - All Officer posts can approve up to a maximum contract length of 3 yrs + 2 extension yrs - GB & Committees do not have a max. contract length for approval purposes	Unlimited	Strategy & Commissioning Committee - Unlimited			Unlimited acting with CFO	Unlimited acting with AO	<£250,000 EDSC and FD acting together <£500,000 EDSC and CFO acting together	<£250,000 acting with FD	<£250,000 COO / Exec Director / EDSC and FD acting together	<£10,000 Director and AD of Finance acting together		
2.3	Signing of contracts/Service Level Agreements (per annum values) - All Officer posts can approve up to a maximum contract length of 3 yrs + 2 extension yrs - GB & Committees do not have a max. contract length for approval purposes	Unlimited	Strategy & Commissioning Committee - Unlimited			Unlimited acting with CFO	Unlimited acting with AO	<£500,000 EDSC and FD acting together <£1,000,000 EDSC and CFO acting together	<£250,000 acting with FD	<£250,000 COO / Exec Director / EDSC and FD acting together	<£10,000 Director and AD of Finance acting together		
2.4	Complex & Individualised Commissioning (CIC) Care Placements including CHC and previously unassessed period of care (PuPOC)	Unlimited	Strategy & Commissioning Committee - £5m		>£1,000,000 per annum Chair, AO and CFO acting together	<£1,000,000 per annum AO and CFO acting together	<£500,000 per annum / £9,590 per week CFO & ED/EDSC/COO acting together	<£250,000 per annum / £4,795 per week <£500,000 per annum / £9,590 per week CFO & EDSC acting together	<£250,000 per annum / £4,795 per week <£500,000 per annum / £9,590 per week CFO & ED/COO acting together	<£182k per annum / £3,500 per week	Director of Complex & Individualised Commissioning (or CCG 'on call' Director / Out of Hours Director) up to £182k per annum / £3,500 per week	Head of Service Complex & Individualised Commissioning up to £70k per annum / £1,336 per week Assistant Director Complex & Individualised Commissioning up to £100k per annum / £1,918 per week	Complex & Individualised Care Commissioner post holder up to Any Qualified Provider (AQP) rate (excluding Personal Health Budgets) Complex & Individualised Care Senior Commissioner post holder up to £70k per annum / £1,336 per week
2.5	Waiving quotations and tenders Note: - All approved waivers are reported to the Audit Committee	Unlimited	Procurement Committee - Unlimited			£1,000,000 with AO and CFO acting together	£1,000,000 with AO and CFO acting together	<£250,000 with CFO and EDSC/COO/ED acting together <£100,000 with FD and EDSC/COO/ED acting together	<£250,000 with CFO and EDSC/COO/ED acting together <£100,000 with FD and EDSC/COO/ED acting together	<£100,000 with FD and EDSC/COO/ED acting together			
3 Income and Expenditure (and associated purchase orders) within budget amounts													
3.1	Non- NHS: Commissioning Expenditure for agreed provider contracts (excl Complex & Individualised Care)	Unlimited				Unlimited	Unlimited	<£5m	<£500,000	<£250,000	Director of Acute Commissioning <£1m Director of Strategic Commissioning Programmes <£1m Other Directors <£150,000	<£50,000	<£15,000
3.2	Non-NHS: Ordering, invoices and payment of goods/services (within approved budgets)	Unlimited				Unlimited	Unlimited	<£250,000	<£250,000	<£250,000	<£150,000	<£50,000	<£15,000

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3.3	Approval of invoices against agreed NHS contracts	Unlimited			Unlimited	Unlimited	£50m	£5m	<£25m	Director of Acute Commissioning <£25m Director of Strategic Commissioning Programmes <£5m Other Directors <£500,000	<£500,000	<£100,000
3.4	Approval of invoices without agreed NHS contracts (Non Contracted Activity)	Unlimited			<£500,000	<£500,000	<£250,000	<£250,000	<£250,000	<£150,000	<£50,000	<£15,000
3.5	Approval of invoices against agreed Local Authorities contracts	Unlimited			<£20m	<£20m	<£10m	<£10m	<£10m	Director of Strategic Commissioning <£1m Complex & Individualised Care Director post holder <£1m	<£50,000	<£15,000
3.6	Overtime - relating to pay	Unlimited			£5-10k	£5-10k	Up to £5k COO/ED/EDSC and FD acting together	Up to £5k COO/ED/EDSC and FD acting together	Up to £5k COO/ED/EDSC and FD acting together			
3.7	Travel & Subsistence	Unlimited			Unlimited	Unlimited	£500	£500	£500	£500	£500	£500
3.8	Contract variations in line with approved business cases	Unlimited			Unlimited	Unlimited	<£500,000	<£250,000	<£250,000			
3.9	NHS Commissioning - where the CCG is an associate party to the contract	Unlimited			Unlimited	£20m	<£15m	<£15m	<£15m			
3.10	NHS Commissioning - sign off of annual contracts	Unlimited			Unlimited	Unlimited	<£15m	<£15m	<£15m			
3.11	NHS Commissioning - payments to providers in line with contact values as delegated to the CSU	Unlimited			Unlimited	Unlimited	In line with CSU delegation limits as per CSU Scheme of Delegation (when the CSU team is transferred to the CCGs the same limits will apply)					
3.12	NHS Commissioning - approval of payments over SLA values	Unlimited			Unlimited	Unlimited	Unlimited with FD and COO/ED/EDSC acting together	Unlimited with FD and COO/ED/EDSC acting together	Unlimited with FD and COO/ED/EDSC acting together			
3.13	Covid Related Expenditure (Non-Care-Placement)	Unlimited	Strategy & Commissioning Committee - Unlimited	>£1,000,000 Chair, AO and CFO acting together	<£1,000,000 AO and CFO acting together	<£1,000,000 AO and CFO acting together	<£100,000 acting with the FD	<£100,000 acting with the FD	<£100,000 acting with the ED/COO/EDSC			
3.14	Complex & Individualised Commissioning (CIC) Care Placements including CHC and Previously Unassessed Period of Care (PUPOC). Approval limits per provider in-line with agreed contracts	Unlimited	Strategy & Commissioning Committee - £5m	>£1,000,000 Chair, AO and CFO acting together	<£1m	<£1m	<£250,000	<£250,000	<£250,000	Director of Complex & Individualised Commissioning £182k	Processing and Reporting Manager Complex & Individualised Commissioning up to £70k	<£15,000
4	Capital Expenditure and Assets											
4.1	Capital Works Orders	Unlimited	Strategy & Commissioning Committee - Unlimited		Unlimited	<£1,000,000	<£250,000 FD acting with the EDSC/ED/COO		<£250,000 FD acting with the EDSC/ED/COO			
4.2	Capital Schemes Approval	Unlimited	Strategy & Commissioning Committee - Unlimited		Unlimited	<£1,000,000	<£250,000 FD acting with the EDSC/ED/COO		<£250,000 FD acting with the EDSC/ED/COO			
4.3	Entering, granting, extending and terminating leases and licences	Unlimited	Strategy & Commissioning Committee - Unlimited		Unlimited	<£2,000,000	<£250,000 FD acting with the EDSC/ED/COO		<£250,000 FD acting with the EDSC/ED/COO			
5	Losses and Compensation (in conjunction with the Audit Committee)											
5.1	Losses due to theft, fraud and overpayment	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000			Up to £10,000 FD and COO/EDSC/ED acting together
5.2	Fruitless payments	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000			Up to £10,000 FD and COO/EDSC/ED acting together

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5.3	Bad debts and claims abandoned	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.4	Compensation under legal obligation including redundancy	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.5	Extra contractual payments to contractors	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.6	Ex-gratia payments: personal effects	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.7	Ex-gratia payments: litigation and compensation	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.8	Ex-gratia payments: clinical negligence	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.9	Ex-gratia payments: personal injury claims	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.10	Ex-gratia payments: other	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.11	Write off: NHS debtors	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.12	Write off: non NHS debtors	Unlimited	Report to the AC			Above £10,000	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £10,000 FD and COO/EDSC/ED acting together	Up to £5,000 Up to £10,000 FD and COO/EDSC/ED acting together			
5.13	Compromise Agreements, COT3 Agreements and other types of agreements for termination or loss of office or employment (NHS England agreement must also be sought)- outside of contract	Unlimited	Remuneration Committee- Unlimited		Up to £20,000 acting with the CFO	Up to £20,000 acting with the AO						
6	Other											
6.1	Workforce Approval Process				Unlimited	Unlimited						
Note on non-financial factors												
This document relates specifically to financial limits. However, all staff should be aware that changes to service delivery models including but not limited to quality, operational performance and/or the balance of risk between the commissioner(s) and the provider(s) may be material regardless of the financial impact. These types of changes may require approval at a more senior level or by a committee so staff should refer to the relevant governance processes and policies.												
Note on VAT												
All of the above revenue amounts are exclusive of VAT. VAT will be added to the approved values as appropriate.												